This agreement governs the relationship between the manufacturer or the applicant who applies for certification, hereinafter referred to as the "Client", and Intertek Testing & Certification Ltd., herein after referred to as "Intertek", which issues Licences with respect to product compliance with the safety requirements relevant to the product.

The Licences incorporated within Intertek gives the Licence Holder the right to apply the ASTA Diamond Mark, the BEAB Safety Mark or other Marks as detailed in the annexes of this document on the certified product. This shows that the product complies with the relevant requirements according to the specific national, international or other standard(s) as applicable.

These certification regulations also cover other non-owned Intertek Marks including the ENEC Mark and the Microgeneration Certification Scheme (MCS) Product Certification Mark. These marks are covered in the relevant appendices to these regulations. In all cases, reference will be made to the individual scheme requirements and standards and these will be implemented in accordance with the schemes at the time of application.

# 1. Definitions

Throughout these Regulations the definitions and terminology of ISO/IEC 17065 as from time to time amended apply. Additional terms used in this document are defined as follows:

"Intertek" means company number 3272281 having its headquarters at Intertek Testing & Certification Ltd., Academy Place, 1-9 Brook Street, Brentwood, Essex, CM14 5NQ, UK.

"Client" means an individual, body corporate or body incorporate that has applied for but has not yet been granted a Certificate or Licence.

"Standard" means the current version of the Standard appropriate to the Certifiable Goods for which a Certificate or Licence is sought.

"Certifiable Goods" means the goods or any of them for which an Applicant seeks a Certificate or Licence.

" Licence" refers to authorising the use of a Mark in accordance with these Regulations.

"Certified Goods" means the goods to which a Mark or Licence has been applied. "Licensed Mark" means any Mark licensed for use by Intertek in accordance with a Product Certification Scheme detailed in the Schedules hereto.

"Licensee" means the authorised holder of a Licence authorising the use of a Mark. "Lifetime Approval" means that the Licensee has paid an Application fee that covers the licence fee for the manufacturing lifetime of the product.

"Manufacturer" means the supplier who manufactures Certifiable or Certified Goods on behalf of an Applicant or a Licensee. A Manufacturer may also be an Applicant or Licensee in his own right.

"Person" means an individual, firm, body corporate or body incorporate.

"Product Certification Scheme" means a scheme through which Intertek may issue a Certificate or Licence for which specific regulations are detailed in the Schedules to these Regulations.

"Review Date" means the date by which a product review must be carried out to continue the validity of the Certificate or Licence.

"Certification Management Fee" is the additional fee paid for the inspection of manufacturing locations, where the inspector is provided by an agreed agent.

# 2. Confidentiality

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Intertek agrees to treat information that the company receives from the Client, in association with the performance of certification, in strictest of confidence, except as mentioned below. Only the Client or his authorised agent has right of access to the documentation that forms the basis for certification. Intertek will make available to the general public the following details in relation to a Licence, unless the Licence holder specifically requests this is withheld:

- Licence number
- Licence holder or brand name
- Product description and designation
- Factory reference code
- Approval standard(s)
- Licence issue date
- Licence review date

Intertek has the right to make exceptions from confidentiality in cases where the company is so obliged in accordance with the requirements of its accreditation bodies and with specific Countries laws or constitution, and subject to decision by the specific Countries court of law, National authority or European authority.

Intertek Testing and Certification Ltd operates certification activities under ISO/IEC 17065:2012. Therefore, EU Commission appointed national accreditation bodies (observers) have a right of access to review client information at either an Intertek or Client site.

# 3. The Licence

Before a Licence authorising the use of one of the Intertek Approval Marks is issued Intertek shall be satisfied with regard to every application:

- a) that the general provisions contained in these Intertek Marks Certification Regulations are satisfied;
- b) that a sample of the Certifiable Goods is constructed in accordance with good engineering practice and does not endanger the safety of persons, domestic animals or property when properly installed and maintained and used in applications for which it was made and thereby conforms with the relevant requirement of the Low Voltage Directive 2006/95/EC (when intended for sale in EU countries);
- that a sample of the Certifiable Goods completely complies with the appropriate safety standard(s) or there exists an acceptable degree of compliance with the appropriate electrical safety standard(s);
- d) that in the case of an application submitted pursuant to the CCA Procedure that any Notification of Test Results presented as being issued by Body A is a true and authentic statement of the testing of the Certifiable Goods and is accompanied by an Identity Declaration or Statement of Identification as required by CCA Operational Documents;
- e) that in the case of an application submitted under Agreements other than the CCA Agreement entered into by Intertek the documentation presented includes a true and authentic statement of the testing of the Certifiable Goods and an Identity Declaration or Statement for Identification as required.
- that the Manufacturer's production procedures and system of quality control will be such that all Certified Goods will conform with the appropriate Electrical Safety Standard and/or to the relevant Intertek Production Control Specifications and will do so to that degree which is considered acceptable at

the time of the grant of the Licence.

# 4 Validity of the Licence

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A Licence is issued for a maximum of five years with a period of validity up to the end of the sixtieth month (see specific Licence requirements in the relevant annex). This period shall be reduced where the Date of Withdrawal of the Standard (DOW) dictates that the Standard to which the product was tested has been superseded or the Licence has been cancelled.

The validity of the certificate is determined by compliance with the following provisions:

- a. The Client pays the invoiced annual Licence fee on the due date at the latest.
- b. The Client issues payment for testing/certification on the due date at the latest.
- c. If these provisions are not complied with within a specified period, the Licence is invalid and the fact that a Licence has been issued is no longer relevant. Section 4 states that the Licence may prematurely cease to be valid in certain circumstances.
- d. Pre-Licence and periodic inspections of the manufacturing locations, as stated in the relevant annex, are successfully completed.
- e. Sample inspections, as stated in the relevant annex, are successfully completed.
- f. Any modification to the product is reported to Intertek and tests or inspections are carried out as directed by Intertek prior to implementation of production.
- g. Any change of manufacturing site is advised to Intertek and accepted in writing by Intertek.

# 5 Premature cessation of validity of the Licence

Intertek has the right to recall a Licence with immediate effect in the following instances:

- a. The Clients system for production control does not comply with the relevant requirements.
- b. The Client does not issue payment for testing/certification on the due date at the latest.
- c. The Client acts in some other way which contravenes this agreement.
- d. Where the standard in question has been amended or superseded and Intertek has informed the Client of the changes that may need to be made, and the Client does not take appropriate action so that the period of validity of the certificate is not affected.

The Client may give notification of premature termination of this agreement providing

that the manufacture or import of the certified products bearing Intertek Mark(s) has ceased.

The agreement ceases to be valid on the day that notification is received by Intertek. The Client is obliged to pay all licence fees that are due for payment before the termination of the agreement and the costs of inspection to the company who carried out the factory inspection or other comparable inspection on behalf of Intertek if the inspection took place before the termination of the agreement. Intertek is obliged to confirm cessation to the Client as soon as possible. Once the Licence ceases to be valid, it is the responsibility of the Client, or his agent, to ensure that any marking of the product discontinues. Product manufactured prior to the date of cancellation is still considered to be certified, even though these products may be sold after the date



of cancellation, providing all other requirements, for example the Date of Withdrawal (DOW) of the standard, are met.

Notwithstanding the fact that the agreement is terminated prematurely, the parties are bound by the obligations that are specified in the relevant annex

#### 6 Assignment of a certificate

The Client has the right to assign the Licence under the following conditions: The holder of the Licence, i.e. the proposed new Licence Holder, applies for a new Licence to be issued in his own name, giving his name, address and other necessary details. The Licence Holder agrees to the transfer and the Client's permission is valid, i.e. it has not been recalled, when the application for transfer is submitted.

#### 6a Brand certificates

In line with the IECEE CB Scheme, Intertek will issue one licence/certificate per Brand name

### 7 Confirmatory inspection

To safeguard the value of the Licence, Intertek maintains the right during the period of validity of the Licence to carry out production and market inspections for the purpose of checking that the products that are available on the market, and which have been certified by Intertek, are consistent with the product as certified.

For this purpose, the Client agrees to provide Intertek, at no cost to and upon request from Intertek, samples of the product that are certified by Intertek from manufacturers, importers, wholesalers, distributors or other enterprises which make the product available on the market, and to permit Intertek or Intertek's representative access to the production unit in order to perform an inspection.

Furthermore, the Client agrees to ensure that the production process incorporates a production control system, through inspection and testing, for the purpose of ensuring that manufactured products are consistent with the product as certified and in accordance with Intertek or other certification scheme requirements. If, after such confirmatory inspection, it is found that the product differs from the product as certified, the Client is obliged to comply with the decisions made by Intertek.

#### 8. Invoicing and Fees

Application and Annual Licence fees are covered in the relevant Annex.

The Client is obliged to pay the fee/charge and/or other reimbursement for inspection of the manufacturer's production control (factory inspection) to the company that performed the inspection, irrespective of whether the inspection was carried out by Intertek or by Intertek's representative.

The Client is obliged to pay any other costs incurred by Intertek due to a Licence Holder non-compliance with these Regulations.

A Test Fee to cover the cost of testing to the requirements of the Appropriate Standard is payable by the Client to Intertek when Intertek arranges such testing.

Unless agreed otherwise, the terms of payment are thirty (30) days net. Penalty interest in accordance with the Interest Act will be applied to overdue payment.

Value added tax is charged in accordance with current legislation.

# 9. Agent and an agent's payment obligation

If the Client is represented by an agent, the Client and the agent are jointly responsible to Intertek for ensuring that all costs incurred in association with certification inclusive of license fees and any additional fees are paid. If an agent ceases to act on behalf of a Licence Holder, the agent or the Licence Holder shall notify Intertek of the circumstances in writing, without delay.

### 10. Claim for compensation

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If the Client intends to charge Intertek with the responsibility for damage which the Client claims to have suffered in connection with certification, he shall submit a written statement outlining the reasons for the claim. The claim for compensation, in order to be valid, must be made within a reasonable period from the time the damage became apparent or should have become apparent to the Client and must have occurred at the latest within two (2) years from testing.

The agent or the Client shall notify Intertek of the circumstances in writing, without delay.

### 11. Limitation of liability

Intertek is responsible under the provisions set out below and under the limitations stipulated for damages suffered by the Client as a result of demonstrated negligence by Intertek in conjunction with certification.

Intertek is not responsible for damages caused as a direct result of a decision made by a court of law, supervisory authority or international authority whose decisions have been agreed by the specific Country, or other circumstances over which Intertek has no control.

Intertek is not responsible for any loss of production, loss of profit, loss of contract or any other indirect loss.

Certification of a product does not absolve the Client from product liability.

Intertek's total liability is limited to the value of the contract.

#### 12 Complaints:

For complaints regarding certified product placed onto the market which is beyond reasonable doubt or suspicion as to product conformity verification in relation to an Intertek issued conformity mark, in the interests of product safety to the end user and the general public, please contact:

Mr Alan Reynard, Intertek Testing & Certification Limited. Cleeve Road Leatherhead KT22 7SA

Tel: +44 1272370900

Alan.reynard@intertek.com



# Appeals and Disputes:

For an appeal or dispute against an Intertek Certification decision given or undertaken by UK Certification Management, any claim of misdoing or believed wrong decision in all cases the appeal or dispute shall be made in writing (within 20 days working days from the date the decision was taken) the appeal is to be addressed to:

Mr Alan Reynard UK Certification Manager Intertek Testing & Certification Limited. Cleeve Road Leatherhead KT22 7SA

Tel: +44 1272370900

Alan.reynard@intertek.com

### 13. Date of coming into force

This agreement comes into force on the date that the Client signs the specific Intertek Certification Application Form.

# 14. Records of Complaints

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A Licensee shall maintain a record of any complaints received in respect of Certified Goods relating to any aspect which could be construed as endangering the safety of persons, domestic animals or property or which might suggest that the goods do not conform to the Low Voltage Directive and/or other New Approach Directives/Harmonised or appropriate Standards...

The records shall include details of actions taken in respect of deficiencies found and their effectiveness.

The records shall be made available to any person duly authorised by Intertek to inspect such records.

Where, upon initial investigation, compliance with the Low Voltage Directive other relevant regulations, or the Appropriate Standard is in doubt, or the effectiveness of the Appropriate Standard is in question Intertek shall be notified immediately and any further investigation shall be conducted in consultation with Intertek.

# 15. Indemnifications and Liability

The Client agrees to hold Intertek harmless and to defend and indemnify Intertek against any liability, loss, or damage from claims, demands, costs (including legal fees), or judgements arising out of any negligent or intentional acts of the Client or third parties relating to the certified product or arising from the use of the Certification Mark.

Intertek will not, in any circumstances, be liable to the Client for any indirect, special, punitive, or consequential damages, or any third party claims which may arise as a result of the services provided in this Certification Agreement or services falling under the responsibilities of Recognised Test Laboratories or Manufacturer's Laboratories. The maximum aggregate liability of Intertek for damages in connection with this Certification Agreement shall not exceed the fees paid by the client to Intertek under this agreement in the 12 months prior to the incident initiating the claim.

# 16. The name of Intertek and use of the Certification Marks

The Client must ensure that a product that is certified by Intertek has the appropriate Mark affixed for as long as the Licence is valid, unless otherwise agreed with Intertek.

When marketing a certified product, the Client has the right to use Intertek marks, symbols and names in the specified manner:

The Mark may be used on products, packaging or other marketing methods. The Client is entitled to print the Intertek name on products or packaging, but only in the phrase "Tested and certified by Intertek Testing & Certification" or equivalent phrasing in another language.

The Client is also only entitled to use the Mark, the Intertek name or other Intertek symbols in agreement with Intertek.

It is a condition of use that the mark shall not be used in any printed advertisements or printed publicity matter directed primarily to the market in the United Kingdom and in the Isle of Man or in retail point of sale display cards distributed by the registered proprietor for use within the United Kingdom and the Isle of Man without indicating that it is a certification mark.

Any right which may arise as a result of promotion of the symbol which is owned and/or used by Intertek will be held by Intertek. Irrespective of whether the use of the



symbol is permitted in accordance with the provisions set out above, Intertek may take action against the use of the said symbol by the Client if the use is to the detriment of the profile, reputation and goodwill of the symbol. The Client is not permitted to register the Mark, the Intertek symbol or any other symbols owned by Intertek.

The client is not permitted by these regulations to infringe the protection of the Marks, symbols and names owned by Intertek.

Marks which are not owned by Intertek, for example the MCS Mark may be subject to restrictions as defined within the relevant certification and/or brand guideline documents.

# 17. Alteration of Regulations

These Regulations may from time to time be altered, but no such alterations shall affect the right of any Licensee to use the Licensed Mark unless, or until, three months' notice in writing of such alteration shall have been given to him by Intertek.

#### 18. Notices

Any notice required by these Regulations to be given to any Person shall be deemed to have been given if forwarded properly addressed, to the last known address of the Person concerned.

#### 19. Intertek Logo – Use by Product Manufactures.

Authority is granted to the applicant to use the Intertek logo "Type Tested, Production Monitored" on packaging, marketing literature and product when an Intertek Type 5 certification has been successfully conducted whereby Type and Surveillance Certification has been issued. The Intertek logo "Type Tested, Production Monitored can be applied by the applicant or by the manufacturer with authority from the applicant if different in conjunction with any of the Type 5 marks listed within these regulations. (See Annex K)

# Annex A – The ASTA Diamond Mark

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# A1 Invoicing and fees for the ASTA Diamond Mark

A Licence fee will be charged for the certified product during the period of validity of the Licence. The first Licence fee is charged in conjunction with the initial certification. The fee is charged to the applicant's account and the amount is either for a full 12 months or in proportion to the number of months until the annual invoicing month (often arranged such that all of the Licences for a client share the same invoicing month).

Subsequent Licence fees are charged annually in advance to coincide with the invoicing month stated on the Licence.

An Application Fee is payable to Intertek by a Licence Holder for a Certificate or Licence before any work is carried out and is not returnable.

# A2 Validity of the ASTA Licence

A Licence will be reviewed every 5 years. This period shall be reduced where the Date of Withdrawal of the Standard (DOW) dictates that the Standard to which the product was tested has been superseded.

If the review of Licensed Goods has not been completed by the Review Date the Licence shall lapse, and the licensee shall no longer be entitled to place the Licensed Mark on the Certified Goods.

A Licence may be reinstated if the review is satisfactorily completed in the period during which it has been allowed to lapse.

A Licence shall be cancelled if it has not been reinstated within twelve months from being allowed to lapse.

A pre-licence inspection of all the relevant manufacturing locations must be successfully completed prior to issuing a new approval unless the factory already produces similar, currently Licenced products.

Samples of the product will be periodically inspected and/or tested by Intertek. A fee will be payable by the Client to cover any test and administration fees. A maximum of one inspection per product will be carried out per year, unless issues arise concerning unauthorised modifications of the product.

# A3 Review of ASTA Licensed Goods

Licensed Goods and the supporting documentation will be reviewed at a frequency to be determined by Intertek, which shall not normally be less than every five years. Every Licence issued will show a Review Date by which the review shall be completed.

If the review of Licensed Goods has not been completed by the Review Date the Licence shall lapse, and the licensee shall no longer be entitled to place the Licensed Mark on the Certified Goods.

A Licence may be reinstated if the review is satisfactorily completed in the period during which it has been allowed to lapse.

A Licence shall be cancelled if it has not been reinstated within twelve months from being allowed to lapse.



The ASTA Diamond Mark licensed for use by Intertek is as shown below:



# Annex B – The BEAB Mark

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**B1 Invoicing and fees for the BEAB Mark** A Licence fee will be charged for the certified product during the period of validity of the Licence. The first Licence fee is charged in conjunction with the certification. The fee is charged to the applicant's account for a full year irrespective of the date of issue. Subsequent Licence fees are charged annually in advance in the anniversary month of issue.

Subsequent Licence fees are charged annually in advance in the anniversary month of issue.

An Application Fee is payable by a Client for a Certificate or Licence to Intertek before any work is carried out and is not returnable.

Where a client indicates on the application form, a lifetime fee may be paid which incorporates the application fee plus the annual licence fee only. This one off fee will last the life of the approval as long as the other rules within these regulations are met. Note: this fee does not include any fees required for reviews, modifications, test work, etc.

A fee is payable for each inspection of all the relevant manufacturing locations and where this inspection is carried out by an agreed agent; a Certification Management Fee is also required.

### **B2 Validity of the BEAB Licence**

A Licence will be reviewed either every 3 or 5 years (depending on the product). This period shall be reduced where the Date of Withdrawal of the Standard (DOW) dictates that the Standard to which the product was tested has been superseded. If the review of Licensed Goods has not been completed by the Review Date the Licence shall lapse, and the licensee shall no longer be entitled to place the Licensed Mark on the Certified Goods.

A Licence may be reinstated if the review is satisfactorily completed in the period during which it has been allowed to lapse.

A Licence shall be cancelled if it has not been reinstated within twelve months from being allowed to lapse.

A pre-licence inspection of all the relevant manufacturing locations must be successfully completed prior to issuing a new approval and an annual routine inspection will be carried out on the anniversary of the approval, for the lifetime of the approval.

Samples of the product will be periodically inspected and a fee will be payable by the Client to cover any test and administration fees. A maximum of one inspection per product will be carried out per year, unless issues arise concerning unauthorised modifications of the product.

#### **B3 BEAB Approval Marks**

The BEAB Approval Mark for Certified Goods other than electrical controls, components and switches for appliances is as shown below:





The BEAB Approval Mark for controls, components or switches for appliances is as shown below:





### Annex C - The BEAB Care Mark

**C1 Invoicing and fees for the BEAB Care Mark** is as stated in Annex B1 and is in addition to the Fees required for the BEAB Mark.

# C1 Testing and Validity of the BEAB CARE Mark Licence

As in Annex B2

Testing for the BEAB CARE Mark shall be carried out in accordance with the latest edition of STS 56 Particular requirements for Care Wash Units. The BEAB Care Mark may only be applied to s product that is in accordance with STS 56 and also carries the BEAB Approval Mark.

#### **C3 BEAB CARE Marks**

The BEAB CARE Mark licensed for use by Intertek is as shown below:





#### Annex D D1 The ENEC Mark

The ENEC Mark licensed for use by INTERTEK is as shown below:



This Mark is not owned by Intertek and other regulations may apply in accordance with the ENEC rules in existence at the time of the application. These will be supplied as required.

# Annex E

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# E1 The Microgeneration Certification Scheme (MCS) Product Approval Mark

# E2 Invoicing and fees for the MCS Mark

A Licence fee will be charged for the certified product during the period of validity of the Licence. The first Licence fee is charged in conjunction with the certification. The fee is charged to the applicant's account for a full year irrespective of the date of issue.

Subsequent Licence fees are charged annually in advance in the anniversary month of issue.

An Application Fee is payable by a Client for a Certificate or Licence to Intertek before any work is carried out and is not returnable.

A fee is payable for each inspection of all the relevant manufacturing locations and where this inspection is carried out by an agreed agent; a Certification Management Fee is also required.

# E3 Validity of the MCS Licence

A Licence will be reviewed every 5 years. This period shall be reduced where the Date of Withdrawal of the Standard (DOW) or relevant MCS Scheme Document dictates that the Standard/Scheme Document to which the product was tested has been superseded.

If the review of Licence has not been completed by the Review Date the Licence shall lapse, and the licensee shall no longer be entitled to place the Licensed Mark on the Certified Goods.

A Licence may be reinstated if the review is satisfactorily completed in the period during which it has been allowed to lapse.

A Licence shall be cancelled if it has not been reinstated within twelve months from being allowed to lapse.

A pre-licence inspection (Factory Production Control) of all the relevant manufacturing locations must be successfully completed prior to issuing a new approval and an annual routine inspection will be carried out on the anniversary of the approval, for the lifetime of the approval.

Samples of the product will be periodically tested (as determined by the Certification Body) and a fee will be payable by the Client to cover any test and administration fees.

A maximum of one inspection per product will be carried out per year, unless issues arise concerning unauthorised modifications of the product.



### E4 Intertek MCS Approval Marks

The MCS Mark licensed for use by INTERTEK is as shown below:



This certification mark has been prepared in accordance with the MCS Product Approval scheme requirements; these permit the use of the certification body logo alongside the MCS Mark. Additional information relating to the technology used and the standards applied are covered by the relevant MCS documentation.

This Mark is not owned by Intertek and other regulations may apply in accordance with the MCS standards and scheme rules in existence at the time of the application. These will be supplied as required.

The client shall supply Intertek copy of Intertek MCS Product Certification Mark as detailed on the licence and as applied to the products listed on Page 2 of the certificate

Use of the MCS Mark is governed by MCS Brand guidelines.

#### E5 MCS Requirements for certification of small wind turbines to MCS-006

The client shall supply Intertek copies of labelling as per section 7 of the BWEA Small Wind Turbine Performance and Safety Standard 28 February 2008

The client shall supply Intertek copy of Intertek MCS Product Certification Mark as detailed on the licence and as applied to the products listed on Page 2 of the certificate

The client shall complete a Manufacturers Declaration letter confirming that the build standard is identical to products tested and certified by Intertek

The client shall accept that Intertek will publish a copy of the BWEA Summary Report(s) referenced on the MCS certificate on the Intertek web site. The client shall also make a copy of the Summary Report publicly available as per section 6 of the BWEA Small Wind Turbine Performance and Safety Standard 28 February 2008

All actions shall be completed and evidence submitted within 30 days of the issue date of these certificates. Failure to comply with these requirements may result in suspension or termination of any MCS Certification.



### Annex F

#### F1 The CB Full Certification Scheme (FCS) Product Approval

A Licence fee will be charged for the certified product during the period of validity of the Licence. The first Licence fee is charged in conjunction with the certification. The fee is charged to the applicant's account for a full year irrespective of the date of issue. Subsequent Licence fees are charged annually in advance in the anniversary month of issue.

An Application Fee is payable by a Client for a Certificate or Licence to Intertek before any work is carried out and is not returnable.

A fee is payable for each inspection of all the relevant manufacturing locations and where this inspection is carried out by an agreed agent; a Certification Management Fee is also required.

#### F2 Validity of the FCS Licence

A Licence will be reviewed either every 3 or 5 years (depending on the product). This period shall be reduced where the Date of Withdrawal of the Standard (DOW) dictates that the Standard to which the product was tested has been superseded. If the review of Licensed Goods has not been completed by the Review Date the Licence shall lapse, and the licensee shall no longer be entitled to place the Licensed Mark on the Certified Goods.

A Licence may be reinstated if the review is satisfactorily completed in the period during which it has been allowed to lapse.

A Licence shall be cancelled if it has not been reinstated within twelve months from being allowed to lapse.

A pre-licence FCS inspection of all the relevant manufacturing locations must be successfully completed prior to issuing a new approval and an annual routine inspection will be carried out on the anniversary of the approval, for the lifetime of the approval.

Samples of the product will be periodically inspected and a fee will be payable by the Client to cover any test and administration fees. A maximum of one inspection per product will be carried out per year, unless issues arise concerning unauthorised modifications of the product.

This Certificate is not owned by Intertek and other regulations may apply in accordance with the IECEE rules in existence at the time of the application. These will be supplied as required.

Note: BEAB Certification will be issued Free of Charge if test work covers the relevant EN Standards and all other rules for BEAB Certification are observed.

### Annex G – CertAlarm

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**G1 Invoicing and fees for the CertAlarm Mark** A Licence fee will be charged for the certified product during the period of validity of the Licence. The first Licence fee is charged in conjunction with the certification. The fee is charged to the applicant's account for a full year irrespective of the date of issue. Subsequent Licence fees are charged annually in advance in the anniversary month of issue. Note: There is also a CertAlarm Fee.

An Application Fee is payable by a Client for a Certificate or Licence to Intertek before any work is carried out and is not returnable.

Client must have Quality Management System in place – audited by a recognised Accreditation Body.

A fee is payable for each Factory Product Control (FPC) inspection of all the relevant manufacturing locations and where this inspection is carried out by an agreed agent; a Certification Management Fee is also required.

#### G2 Validity of the BEAB Licence

A Licence will be reviewed either every 4 years. This period shall be reduced where the Date of Withdrawal of the Standard (DOW) dictates that the Standard to which the product was tested has been superseded.

If the review of Licensed Goods has not been completed by the Review Date the Licence shall lapse, and the licensee shall no longer be entitled to place the Licensed Mark on the Certified Goods.

A Licence may be reinstated if the review is satisfactorily completed in the period during which it has been allowed to lapse.

A Licence shall be cancelled if it has not been reinstated within twelve months from being allowed to lapse.

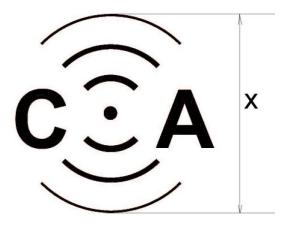
A pre-licence inspection of all the relevant manufacturing locations must be successfully completed prior to issuing a new approval and an annual routine inspection will be carried out on the anniversary of the approval, for the lifetime of the approval.

Samples of the product will be periodically inspected and a fee will be payable by the Client to cover any test and administration fees. A maximum of one inspection per product will be carried out per year, unless issues arise concerning unauthorised modifications of the product.



# G3 The CertAlarm Mark

The CertAlarm Mark licensed for use by INTERTEK is as shown below:



The CERTALARM Mark may be reproduced in any size appropriate for the application, provided that the proportions are not altered, and the dimension X is not less than 5 mm.

This Mark is not owned by Intertek and other regulations may apply in accordance with the CertAlarm rules in existence at the time of the application. These will be supplied as required.

# Annex H - The Intertek Tick Mark

This Mark and can only be used where other Intertek Marks are not available.

#### H1 Invoicing and fees for the Tick Mark

A Licence fee will be charged for the certified product during the period of validity of the Licence. The first Licence fee is charged in conjunction with the certification. The fee is charged to the applicant's account for a full year irrespective of the date of issue. Subsequent Licence fees are charged annually in advance in the anniversary month of issue.

An Application Fee is payable by a Client for a Certificate or Licence to Intertek before any work is carried out and is not returnable.

A fee is payable for each inspection of all the relevant manufacturing locations and where this inspection is carried out by an agreed agent; a Certification Management Fee is also required.

#### H2 Validity of the Intertek Tick Mark Licence

A Licence will be reviewed either every 3 or 5 years (depending on the product). This period shall be reduced where the Date of Withdrawal of the Standard (DOW) dictates that the Standard to which the product was tested has been superseded. If the review of Licensed Goods has not been completed by the Review Date the Licence shall lapse, and the licensee shall no longer be entitled to place the Licensed Mark on the Certified Goods.

A Licence may be reinstated if the review is satisfactorily completed in the period during which it has been allowed to lapse.

A Licence shall be cancelled if it has not been reinstated within twelve months from being allowed to lapse.

A pre-licence factory inspection of all the relevant manufacturing locations must be successfully completed prior to issuing a new approval and an annual routine inspection will be carried out on the anniversary of the approval, for the lifetime of the approval.

Samples of the product will be periodically inspected and a fee will be payable by the Client to cover any test and administration fees. A maximum of one inspection per product will be carried out per year, unless issues arise concerning unauthorised modifications of the product.

This Certificate is not owned by Intertek and other regulations may apply in accordance with the IECEE rules in existence at the time of the application. These will be supplied as required.

# H3 The Tick Mark

A sample of the Tick Mark for Certified Goods is as shown below:





#### Annex J H1 The European Building Automation Controls Scheme (EuBAC) Product Approval Mark

The EuBAC Mark licensed for use by INTERTEK is as shown below:



This Mark is not owned by Intertek and other regulations may apply in accordance with the EuBAC rules in existence at the time of the application. These will be supplied as required.



# Annex K:

Example of the Intertek logo when applied by the client on packaging, literature and the product when a Intertek Type 5 Certification mark program has been completed.



Pantone colours - Blue, Black or Grey



# Annex L L1 IECEE CB Scheme



IECEE CB Scheme is operated by the IEC System of Conformity Assessment Schemes for Electrotechical Equipment and Components (IECEE), the IECEE CB Scheme is an international system for mutual acceptance of test reports and certificates dealing with the safety of electrical and electronic components, equipment and products.

The Operational Documents (OD) can be found at the following link: <u>https://www.iecee.org/documents/refdocs/</u>.



Document History				
Revision No.	Date	Changes	Name & Title	
			Author	Approving Official
1.0	21/08/2013	Initial issue – Transfer to the LMS structure under new GMS – Linked to SMS-EU-CERT-OP-19	B McGill	B McGill
1.1	24/04/2014	Statement regarding confidentiality & IPR access by EU appointed AB's included	B McGill	B McGill
1.2	21/09/2016	Ref to 61400-22 Wind Turbines Removed – Annex L	B McGill	B McGill
1.3	8/09/2018	Re-Branding, update Leatherhead address and added IECEE CB Scheme to Annex L.	A Vaheed	AS